TESTA, HURWITZ & THIBEAULT, LLP

ATTORNEYS AT LAW

HIGH STREET TOWER
125 HIGH STREET
BOSTON, MASSACHUSETTS 02110

OFFICE (617) 248-7000 DIRECT DIAL (617) 310-8085 FAX RECEIVED

MAY 1 5 2001

GROUP 1700

FAX (617) 248-7100 DIRECT FAX (617) 790-0332 EMAIL: gaff@tht.com

TELECOPY COVER SHEET

| Equipment Oper | rator Contact Number: 6 | 17-310-8206. Please call if you do not receive all the pages. | | | | | |
|--------------------|--|--|--|--|--|--|--|
| | Date: | May 15, 2001 | | | | | |
| TO: | Name: | Examiner Patricia K. Bex Group 1743 | | | | | |
| | Company: | U.S. Patent & Trademark Office | | | | | |
| | Address: | Washington, DC 20231 | | | | | |
| | Telephone: | (703) 306-5697 | | | | | |
| | Fax: | (703) 305-3599 | | | | | |
| FROM: | Sender Name: | Brian M. Gaff, Esq. (Reg. No. 44,691) | | | | | |
| | Address: Washington, DC 20231 Telephone: (703) 306-5697 Fax: (703) 305-3599 Sender Name: BRIAN M. GAFF, Esq. (Reg. No. 44,691) Number of Pages INCLUDING This Cover Sheet: 13 Client: 2174/29 Serial No. 09/156 952 Amendment After Final Under 37 C.F.R. 8 1 116 | | | | | | |
| | Client: | 2174/29 | | | | | |
| Comments: | Serial No. 09/156,952 Amendment After Final Under 37 C.F.R. § 1.116. | | | | | | |
| | Examiner Bex: Here is the amendment we discussed in our telephone interview yesterday. | | | | | | |
| | Please confirm, preferably via email to me at gaff@tht.com that you received this facsimile. | | | | | | |
| | Thank you. | | | | | | |
| named above. It | s subject to attorney-clien fyou have received this fa- hout making a copy. | t privilege and contains confidential information intended only for the person(s) esimile in error, please notify us immediately by telephone and destroy the original | | | | | |
| rigusinissioti Mir | | | | | | | |

Best Available Copy

PATENT Attorney Docket No. CYM-025 (2174/29)

FAX RECEIVED

GROUP 17

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS:

Ostgaard et al.

SERIAL NO.:

09/156,952

GROUP NO.:

1743

FILING DATE:

18-Sept-1998

EXAMINER:

Patricia K. Bex

TITLE:

SAMPLE VIAL FOR USE IN PREPARING

CYTOLOGICAL SPECIMEN

CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this correspondence and any documents referred to as attached hereto are being transmitted to the United States Patent and Trademark Office, Facsimile No. (703) 305-3599 on this 15th day of May, 2001.

Bett B. Leveriza

Attached are:

- 1. Transmittal Form (1 pg.);
- Amendment and Response (9 pgs.); and
- 3. Associate Power of Attorney (1 pg.)

VER 9/00

GAFFBM\2174\29.2089329_1

| > |
|--------------|
| \mathbf{Q} |
| 0 |
| () |
| 0 |
| 互 |
| 0 |
| ā |
| ₹ |
| Sest |
| <u>a</u> |

| | | | Application S | erial Number | 09/15 | 6,952 | 0. | |
|---|--|---|--|---|------------------------------|---|---|-----|
| TRANSMITTAL FORM | | | Filing Date First Named Inventor | | September 18, 1998 OSTGAARD | | | |
| | | | | | | | | |
| | | | • | | | | | |
| | | | Attorney Docket No. BATCH NO. (after allowance) | | CYM-025 MAY | | | |
| | | | | | | MAI 1 5 2 | | |
| | | | | | | | (aitel allowance) | N/A |
| | | | Patent No. | | N/A | | 1.007 17 | |
| | | Issue Date | | N/A | | | | |
| | | | | eck all that apply) | <u> </u> | Notice of Ass | and to Board | |
| ☐ F | ee Transmittal Form | | | to File Missing ation (PTO-1553) | | Notice of App of Patent App | cals and Interferences | |
| | ☐ Check Attached☐ Copy of Fee Transmittal Form | | Formal Drawin | g(s) | | Appeal Brief | (in triplicate) | |
| \boxtimes | Amendment/Response | | Request For Co Examination (R | | | Status Inquiry | , | |
| | Preliminary | | Transmittal | | | Return Recei | ot Postcard | |
| • | After Final Affidavits/declaration(s) | Power of Attor | | | • | • | | |
| | Letter to Official Draftsperson | | (Revocation of | Prior Powers) | | under 37 C.F | First Class Mailing .R. 1.8 | |
| | including Drawings [Total Sheets] | | Terminal Disclaimer | | | Certificate of Facsimile Transmission under 37 C.F.R. 1.8 | | |
| | Petition for Extension of Time | | | aration and Power Utility or Design tion | Ø | Associate Po | wer of Attorney | |
| Ċ | Information Disclosure Statement Form PTO-1449 Copies of IDS Citations | | Small Entity Statement | | | • | | |
| | | | CD(s) for large table or computer program | | | | | |
| | Certified Copy of Priority | | Amendment A | fter Allowance | | • | | |
| | Document(s) | Request for Certificate of | | | : | • | | |
| | Sequence Listing submission | Correction Certificate of Correction (in | | | | | | |
| , | ☐ Paper Copy/CD ☐ Computer Readable Copy | | duplicate) | | | | | |
| | Statement verifying | | • • | | ' | | | |
| - | identity of above | <u></u> | | SIGNATURE BL | OCK | | | |
| | RESPONDENCE ADDRESS | | · · | SIGNATURE DE | - | Respectfully | submitted, | |
| Direct all correspondence to: Patent Administrator Testa, Hurwitz & Thibeault, LLP High Street Tower 125 High Street Boston, MA 02110 Tel. No.: (617) 248-7100 Fax No.: (617) 248-7100 | | | | Tel. No.: (617) 310-8085 Attornot Fax No.: (617) 790-0332 Testa, I Email: gaff@tht.com High S | | Brian M. Ga Attorney for Testa, Hurw High Street | Applicants itz & Thibeault, LLP Tower rect | |

VER 12/00 1068921 GAFFBM\2174\29,2089339_1

Attorney Docket No. CYM-025

OK AS ENTERED

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S):

Ostgaard et al.

SERIAL NO.:

09/156,952

GROUP NO.:

1743

FILING DATE:

18-Sept-98

EXAMINER:

Patricia K. Bex GROUP 1700

TITLE:

Sample Vial for Use in Preparing Cytological Specimen

Box AF Commissioner for Patents Washington, D.C. 20231

AMENDMENT AND RESPONSE UNDER 37 C.F.R. § 1.116

In response to the Office Action, Paper No. 13, mailed from the U.S. Patent and Trademark Office on March 20, 2001, for which the period of response is set to expire on June 20, 2001, Applicants respectfully request entry of this amendment and response.

Please amend the above-identified patent application as follows, without prejudice. In accordance with 37 C.F.R. § 1.121, attached to the end of this Amendment and Response is a marked up version of the amended items showing all changes relative to the previous version.

IN THE CLAIMS:

Please amend claim 1 as follows:

1. (Three times amended) A sample vial for use in an automated test apparatus, the sample vial comprising:

a body comprising an outer surface, an open end, a closed end, and at least one anti-rotation lug about said body outer surface;

Best Available Copy